



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Junji FUJIKAWA et al

Art Unit: 1756

S. N. 09/830,598

Examiner: Rouhanian, Minoo K.

International S.N. PCT/JP00/06038

Filed: April 27, 2001

International Filing Date: 5 February 2003

For: HALFTONE PHASE SHIFT  
PHOTOMASK, AND HALFTONE  
PHASE SHIFT PHOTOMASK BLANK  
FOR THE FABRICATION OF THE SAME

RECEIVED  
MAR 21 2003  
TC 1700

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

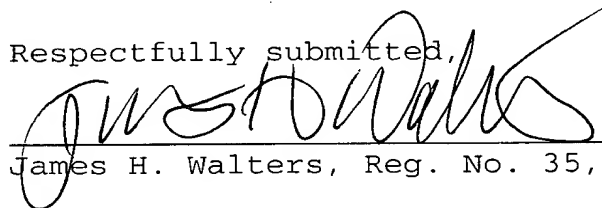
Sir:

Applicants wish to draw attention to the documents listed on the accompanying Form PTO-1449, copies of which documents are enclosed.

A certification under 37 CFR 1.97(e) is attached. The documents listed in Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, namely a European search report that was mailed February 5, 2003. A copy of the European Search Report, together with a copy of the European official communication is enclosed.

Pursuant to MPEP 609, it is requested that the Examiner should initial Form PTO-1449 to confirm that each document has been considered, and return a copy of the form to the undersigned.

Respectfully submitted,

  
James H. Walters, Reg. No. 35,731

802  
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DOCKET: A-398

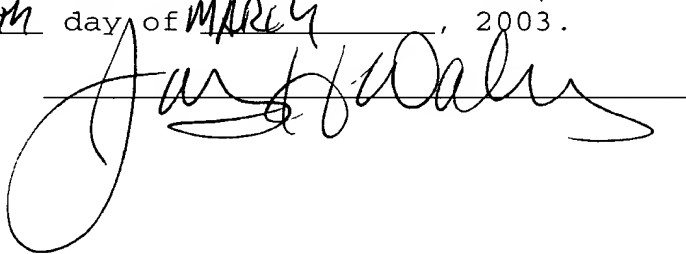
S.N. 09/830,598 (PCT/JP00/06038)

Filed: April 27, 2001 (5 February 2003)

Information Disclosure Statement and related documents

Certificate of Mailing

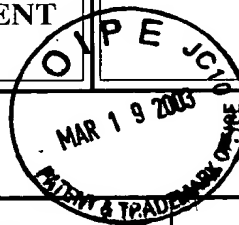
I hereby certify that this correspondence is being deposited as first class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on this 14th day of MARCH, 2003.

A handwritten signature in black ink, appearing to read "James H. Daley", is written over a horizontal line.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. **3/3/03**  
A-398 **#12(DD)**

In Re Application Of: **Juñji FUJIKAWA et al**



Serial No.  
**09/830,598**

Filing Date  
**April 27, 2001**

Examiner  
**Rouhanian, Minoo K.**

Group Art Unit  
**1756**

Title: **HALFTONE PHASE SHIFT PHOTOMASK, AND HALFTONE PHASE SHIFT PHOTOMASK BLANK  
FOR THE FABRICATION OF THE SAME**

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**TC 1700**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
A-398

In Re Application: **Junji FUJIKAWA et al**

Serial No.  
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Examiner  
**Rouhanian, Minoo K.**

Group Art Unit  
**1756**

**HALFTONE PHASE SHIFT PHOTOMASK, AND HALFTONE PHASE SHIFT PHOTOMASK  
FOR THE FABRICATION OF THE SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: March 14, 2003

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
A-398

In Re Application Of: **Junji FUJIKAWA et al**

Serial No.  
09/830,598

Filing Date  
April 27, 2001

Examiner  
Rouhanian, Minoo K.

Group Art Unit  
1756

Invention: **HALFTONE PHASE SHIFT PHOTOMASK, AND HALFTONE PHASE SHIFT PHOTOMASK  
BLANK FOR THE FABRICATION OF THE SAME**

TO THE ~~ASSISTANT~~ COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

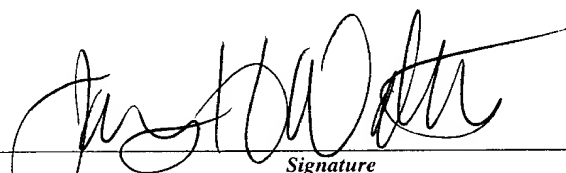
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

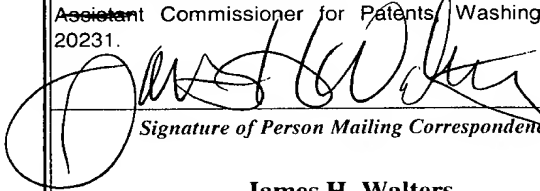
  
Signature

Dated: March 14, 2003

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